



Do Good.

Investor Complaints Data to be displayed by RAs on their website/mobile application
Data for every month ending (September – 2026)

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending Complaints > 3 Months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES 2.0)	Nil	Nil	Nil	Nil	Nil	NA
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	Nil	Nil	Nil	Nil	Nil	NA

Number of complaints received during month against the RA due to impersonation by some other entity: Nil

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint, in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April – 2026	Nil	Nil	Nil	Nil
2	May – 2026	Nil	Nil	Nil	Nil
3	June – 2026	Nil	Nil	Nil	Nil
4	July – 2026	Nil	Nil	Nil	Nil
5	August – 2026	Nil	Nil	Nil	Nil
6	September – 2026	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

NEO WEALTH MANAGEMENT PRIVATE LIMITED

Phone: 022 6642 3600 | Email: compliance@neo-wealth.in | Website: <https://neo-group.in/> |

SEBI Reg. No. IN-DP-725-2022 | CIN: U7424MH2021PTC367572

Address: 903 - B Wing, Marathon Futurex, NM Joshi Marg, Lower Parel, Mumbai, Maharashtra 400013



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Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous Year	Received	Resolved*	Pending#
	Grand Total	Nil	Nil	Nil	Nil

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

Note : Neo Wealth Management Private Limited has received SEBI registration as Research Analyst in April 2026.

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