



Do Good.

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites Data for every month ending (September 2026)

SN.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES 2.0)	Nil	Nil	Nil	Nil	Nil	Nil	NA
3	Depositories	Nil	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	NA

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	November – 2022	Nil	Nil	Nil	Nil
2	December – 2022	Nil	Nil	Nil	Nil
3	January – 2023	Nil	Nil	Nil	Nil
4	February – 2023	Nil	Nil	Nil	Nil
5	March – 2023	Nil	Nil	Nil	Nil
6	April – 2023	Nil	Nil	Nil	Nil

NEO WEALTH MANAGEMENT PRIVATE LIMITED

Phone: 022 6642 3600 | Email: compliance@neo-wealth.in | Website: <https://neo-group.in/> |

SEBI Reg. No. IN-DP-725-2022 | CIN: U7424MH2021PTC367572

Address: 903 - B Wing, Marathon Futurex, NM Joshi Marg, Lower Parel, Mumbai, Maharashtra 400013



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7	May – 2023	Nil	Nil	Nil	Nil
8	June – 2023	Nil	Nil	Nil	Nil
9	July – 2023	Nil	Nil	Nil	Nil
10	August – 2023	Nil	Nil	Nil	Nil
11	September – 2023	Nil	Nil	Nil	Nil
12	October – 2023	Nil	Nil	Nil	Nil
13	November – 2023	Nil	Nil	Nil	Nil
14	December – 2023	Nil	Nil	Nil	Nil
15	January – 2024	Nil	Nil	Nil	Nil
16	February – 2024	Nil	Nil	Nil	Nil
17	March – 2024	Nil	Nil	Nil	Nil
18	April – 2024	Nil	Nil	Nil	Nil
19	May – 2024	Nil	Nil	Nil	Nil
20	June – 2024	Nil	Nil	Nil	Nil
21	July – 2024	Nil	Nil	Nil	Nil
22	August – 2024	Nil	Nil	Nil	Nil
23	September – 2024	Nil	Nil	Nil	Nil
24	October – 2024	Nil	Nil	Nil	Nil
25	November – 2024	Nil	Nil	Nil	Nil
26	December – 2024	Nil	Nil	Nil	Nil
27	January – 2025	Nil	Nil	Nil	Nil
28	February – 2025	Nil	Nil	Nil	Nil
29	March – 2025	Nil	Nil	Nil	Nil
30	April – 2025	Nil	Nil	Nil	Nil
31	May – 2025	Nil	Nil	Nil	Nil
32	June – 2025	Nil	Nil	Nil	Nil
33	July – 2025	Nil	Nil	Nil	Nil
34	August – 2025	Nil	Nil	Nil	Nil

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35	September – 2025	Nil	Nil	Nil	Nil
36	October – 2025	Nil	Nil	Nil	Nil
37	November – 2025	Nil	Nil	Nil	Nil
38	December – 2025	Nil	Nil	Nil	Nil
39	January – 2026	Nil	Nil	Nil	Nil
40	February – 2026	Nil	Nil	Nil	Nil
41	March – 2026	Nil	Nil	Nil	Nil
42	April – 2026	Nil	Nil	Nil	Nil
43	May – 2026	Nil	Nil	Nil	Nil
44	June – 2026	Nil	Nil	Nil	Nil
45	July – 2026	Nil	Nil	Nil	Nil
46	August – 2026	Nil	Nil	Nil	Nil
47	September – 2026	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month

divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	NIL	NIL	NIL	NIL
2	2022-23	NIL	NIL	NIL	NIL
3	2023-24	NIL	NIL	NIL	NIL
4	2024-25	NIL	NIL	NIL	NIL
5	2025-26	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Note: Neo Wealth Management Private Limited received SEBI Registration for Depository Participant operations in November 2022 and commenced demat account services in December 2022.

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