



Investor Complaints Data to be displayed by RAs on their website/mobile application Data for every month ending (September – 2025)

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending Complaints > 3 Months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES 2.0)	Nil	Nil	Nil	Nil	Nil	NA
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	Nil	Nil	Nil	Nil	Nil	NA

Number of complaints received during month against the RA due to impersonation by some other entity: Nil

* Inclusive of complaints of previous months resolved in the current month.

Inclusive of complaints pending as on the last day of the month.

^ Average Resolution time is the sum total of time taken to resolve each complaint, in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	November – 2022	Nil	Nil	Nil	Nil
2	December – 2022	Nil	Nil	Nil	Nil
3	January – 2023	Nil	Nil	Nil	Nil
4	February – 2023	Nil	Nil	Nil	Nil
5	March – 2023	Nil	Nil	Nil	Nil
6	April – 2023	Nil	Nil	Nil	Nil
7	May – 2023	Nil	Nil	Nil	Nil
8	June – 2023	Nil	Nil	Nil	Nil
9	July – 2023	Nil	Nil	Nil	Nil
10	August – 2023	Nil	Nil	Nil	Nil
11	September – 2023	Nil	Nil	Nil	Nil
12	October – 2023	Nil	Nil	Nil	Nil
13	November – 2023	Nil	Nil	Nil	Nil

NEO WEALTH MANAGEMENT PRIVATE LIMITED

CIN: U74140MH2021PTC367572

903, B-Wing, 9th Floor, Marathon Futurex, Mafatlal Mills Compound, N.M. Joshi Marg, Lower Parel Mumbai City MH 400013 IN compliance@neo-wealth.com and Phone Number: 022-66423600



14	December – 2023	Nil	Nil	Nil	Nil
15	January – 2024	Nil	Nil	Nil	Nil
16	February – 2024	Nil	Nil	Nil	Nil
17	March – 2024	Nil	Nil	Nil	Nil
18	April – 2024	Nil	Nil	Nil	Nil
19	May – 2024	Nil	Nil	Nil	Nil
20	June – 2024	Nil	Nil	Nil	Nil
21	July – 2024	Nil	Nil	Nil	Nil
22	August – 2024	Nil	Nil	Nil	Nil
23	September – 2024	Nil	Nil	Nil	Nil
24	October – 2024	Nil	Nil	Nil	Nil
25	November – 2024	Nil	Nil	Nil	Nil
26	December – 2024	Nil	Nil	Nil	Nil
27	January – 2025	Nil	Nil	Nil	Nil
28	February – 2025	Nil	Nil	Nil	Nil
29	March – 2025	Nil	Nil	Nil	Nil
30	April – 2025	Nil	Nil	Nil	Nil
31	May – 2025	Nil	Nil	Nil	Nil
32	June – 2025	Nil	Nil	Nil	Nil
33	July – 2025	Nil	Nil	Nil	Nil
34	August – 2025	Nil	Nil	Nil	Nil
35	September – 2025	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

Trend of annual disposal of complaints

Sr. No.	Year	Carried forward from previous Year	Received	Resolved*	Pending#
1	2021-2022	Nil	Nil	Nil	Nil
2	2022-2023	Nil	Nil	Nil	Nil
3	2023-2024	Nil	Nil	Nil	Nil
4	2024-2025	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

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