



Investor Complaints Data to be displayed by RAs on their website/mobile application

Data for every month ending (June - 2024)

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	Nil	Nil	Nil	Nil	Nil	NA

^ Average Resolution time is the SumTotal of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	November - 2022	Nil	Nil	Nil	Nil
2	December - 2022	Nil	Nil	Nil	Nil
3	January – 2023	Nil	Nil	Nil	Nil
4	February – 2023	Nil	Nil	Nil	Nil
5	March – 2023	Nil	Nil	Nil	Nil
6	April – 2023	Nil	Nil	Nil	Nil
7	May – 2023	Nil	Nil	Nil	Nil
8	June – 2023	Nil	Nil	Nil	Nil

NEO WEALTH MANAGEMENT PRIVATE LIMITED

CIN:U74140MH2021PTC367572

903, B-Wing, 9th Floor, Marathon Futorex, Mafatlal Mills Compound, N.M.Joshi Marg,

Lower Parel Mumbai Mumbai City MH 400013 IN

compliance@neo-wealth.com and Phone Number: 022-66423600



9	July – 2023	Nil	Nil	Nil	Nil
10	August – 2023	Nil	Nil	Nil	Nil
11	September – 2023	Nil	Nil	Nil	Nil
12	October – 2023	Nil	Nil	Nil	Nil
13	November – 2023	Nil	Nil	Nil	Nil
14	December –2023	Nil	Nil	Nil	Nil
15	January – 2024	Nil	Nil	Nil	Nil
16	February – 2024	Nil	Nil	Nil	Nil
17	March – 2024	Nil	Nil	Nil	Nil
18	April – 2024	Nil	Nil	Nil	Nil
19	May – 2024	Nil	Nil	Nil	Nil
20	June – 2024	Nil	Nil	Nil	Nil
	Grand Total				

*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2018-19	NIL	NIL	NIL	NIL
2	2019-20	NIL	NIL	NIL	NIL
3	2020-21	NIL	NIL	NIL	NIL
4	2021-22	NIL	NIL	NIL	NIL
5	2022-23	NIL	NIL	NIL	NIL
6	2023-24	NIL	NIL	NIL	NIL
	Grand Total				

*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

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