



**Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

Data for every month ending (June 2024)

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	NA
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	NA

**Trend of monthly disposal of complaints:**

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	November - 2022	Nil	Nil	Nil	Nil
2	December - 2022	Nil	Nil	Nil	Nil

**NEO WEALTH MANAGEMENT PRIVATE LIMITED**

CIN:U74140MH2021PTC367572

903, B-Wing, 9<sup>th</sup> Floor, Marathon Futorex, Mafatlal Mills Compound, N.M.Joshi Marg,

Lower Parel Mumbai Mumbai City MH 400013 IN

compliance@neo-wealth.com and Phone Number: 022-66423600



3	January – 2023	Nil	Nil	Nil	Nil
4	February – 2023	Nil	Nil	Nil	Nil
5	March – 2023	Nil	Nil	Nil	Nil
6	April – 2023	Nil	Nil	Nil	Nil
7	May – 2023	Nil	Nil	Nil	Nil
8	June – 2023	Nil	Nil	Nil	Nil
9	July – 2023	Nil	Nil	Nil	Nil
10	August – 2023	Nil	Nil	Nil	Nil
11	September – 2023	Nil	Nil	Nil	Nil
12	October – 2023	Nil	Nil	Nil	Nil
13	November- 2023	Nil	Nil	Nil	Nil
14	December – 2023	Nil	Nil	Nil	Nil
15	January -2024	Nil	Nil	Nil	Nil
16	February- 2024	Nil	Nil	Nil	Nil
17	March – 2024	Nil	Nil	Nil	Nil
18	April – 2024	Nil	Nil	Nil	Nil
19	May – 2024	Nil	Nil	Nil	Nil
20	June – 2024	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

\* Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL

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6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL
	Grand Total				

Note: Neo Wealth Management Private Limited commenced onboarding clients under it's broking division in December, 2022.

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