



## Complaint Data to be displayed by IAs

Data for the month ending – December 2024

Sr.No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints >3 months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	1	Nil	1	Nil	Nil	Nil
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

Trend of monthly disposal of complaints:

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	November 2022	Nil	Nil	Nil	Nil
2	December 2022	Nil	Nil	Nil	Nil
3	January 2023	Nil	Nil	Nil	Nil
4	February 2023	Nil	Nil	Nil	Nil
5	March,2023	Nil	Nil	Nil	Nil
6	April 2023	Nil	Nil	Nil	Nil
7	May 2023	Nil	Nil	Nil	Nil
8	June 2023	Nil	Nil	Nil	Nil
9	July 2023	Nil	Nil	Nil	Nil
10	August 2023	Nil	Nil	Nil	Nil
11	September 2023	Nil	Nil	Nil	Nil

### NEO WEALTH MANAGEMENT PRIVATE LIMITED

CIN: U74140MH2021PTC367572

903, B-Wing, 9<sup>th</sup> Floor, Marathon Futurex, Mafatlal Mills Compound, N.M. Joshi Marg, Lower Parel  
Mumbai City MH 400013 IN compliance@neo-wealth.com and Phone Number: 022- 66423600



12	October 2023	Nil	Nil	Nil	Nil
13	November 2023	Nil	Nil	Nil	Nil
14	December 2023	Nil	Nil	Nil	Nil
15	January 2024	Nil	Nil	Nil	Nil
16	February 2024	Nil	Nil	Nil	Nil
17	March 2024	Nil	Nil	Nil	Nil
18	April 2024	Nil	Nil	Nil	Nil
19	May 2024	Nil	Nil	Nil	Nil
20	June 2024	Nil	Nil	Nil	Nil
21	July 2024	Nil	Nil	Nil	Nil
22	August 2024	Nil	Nil	Nil	Nil
23	September 2024	Nil	Nil	Nil	Nil
24	October 2024	Nil	Nil	Nil	Nil
25	November 2024	Nil	1	Nil	1
26	December 2024	1	Nil	1	Nil
	Grand Total	Nil	Nil	Nil	Nil

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

#### Trend of annual disposal of complaints

Sr.No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2021-22	Nil	Nil	Nil	Nil
2	2022-23	Nil	Nil	Nil	Nil
3	2023-24	Nil	Nil	Nil	Nil
	Grand Total				

\*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

Note : Neo Wealth Management Private Limited has received SEBI registration as Investment adviser in November 2022.

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