



### Investor Complaints Data to be displayed by RAs on their website/mobile application

Data for every month ending (August - 2024)

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	NA
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	Nil	Nil	Nil	Nil	Nil	NA

^ Average Resolution time is the SumTotal of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	November - 2022	Nil	Nil	Nil	Nil
2	December - 2022	Nil	Nil	Nil	Nil
3	January – 2023	Nil	Nil	Nil	Nil
4	February – 2023	Nil	Nil	Nil	Nil
5	March – 2023	Nil	Nil	Nil	Nil
6	April – 2023	Nil	Nil	Nil	Nil
7	May – 2023	Nil	Nil	Nil	Nil
8	June – 2023	Nil	Nil	Nil	Nil

### NEO WEALTH MANAGEMENT PRIVATE LIMITED

CIN:U74140MH2021PTC367572

903, B-Wing, 9<sup>th</sup> Floor, Marathon Futurex, Mafatlal Mills Compound, N.M.Joshi Marg, Lower Parel  
Mumbai City MH 400013 IN compliance@neo-wealth.com and Phone Number: 022-66423600



9	July – 2023	Nil	Nil	Nil	Nil
10	August – 2023	Nil	Nil	Nil	Nil
11	September – 2023	Nil	Nil	Nil	Nil
12	October – 2023	Nil	Nil	Nil	Nil
13	November – 2023	Nil	Nil	Nil	Nil
14	December –2023	Nil	Nil	Nil	Nil
15	January – 2024	Nil	Nil	Nil	Nil
16	February – 2024	Nil	Nil	Nil	Nil
17	March – 2024	Nil	Nil	Nil	Nil
18	April – 2024	Nil	Nil	Nil	Nil
19	May – 2024	Nil	Nil	Nil	Nil
20	June – 2024	Nil	Nil	Nil	Nil
21	July– 2024	Nil	Nil	Nil	Nil
22	August – 2024	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month

Trend of annual disposal of complaints

#Inclusive of complaints pending as on the last day of the year.

SN	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2018-19	NIL	NIL	NIL	NIL
2	2019-20	NIL	NIL	NIL	NIL
3	2020-21	NIL	NIL	NIL	NIL
4	2021-22	NIL	NIL	NIL	NIL
5	2022-23	NIL	NIL	NIL	NIL
6	2023-24	NIL	NIL	NIL	NIL
	Grand Total				

\*Inclusive of complaints of previous years resolved in the current year.

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