

**Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

Data for every month ending (April 2024)

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil	NA
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	NA

Trend of monthly disposal of complaints:

Sr.No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	November - 2022	Nil	Nil	Nil	Nil
2	December - 2022	Nil	Nil	Nil	Nil

NEO WEALTH MANAGEMENT PRIVATE LIMITED

CIN:U74140MH2021PTC367572

903, B-Wing, 9th Floor, Marathon Futorex, Mafatlal Mills Compound, N.M.Joshi Marg,

Lower Parel Mumbai Mumbai City MH 400013 IN

compliance@neo-wealth.com and Phone Number: 022-66423600



3	January – 2023	Nil	Nil	Nil	Nil
4	February – 2023	Nil	Nil	Nil	Nil
5	March – 2023	Nil	Nil	Nil	Nil
6	April – 2023	Nil	Nil	Nil	Nil
7	May – 2023	Nil	Nil	Nil	Nil
8	June – 2023	Nil	Nil	Nil	Nil
9	July – 2023	Nil	Nil	Nil	Nil
10	August – 2023	Nil	Nil	Nil	Nil
11	September – 2023	Nil	Nil	Nil	Nil
12	October – 2023	Nil	Nil	Nil	Nil
13	November- 2023	Nil	Nil	Nil	Nil
14	December – 2023	Nil	Nil	Nil	Nil
15	January -2024	Nil	Nil	Nil	Nil
16	February- 2024	Nil	Nil	Nil	Nil
17	March – 2024	Nil	Nil	Nil	Nil
18	April – 2024	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

* Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL
	Grand Total				

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Note: Neo Wealth Management Private Limited commenced onboarding clients under its broking division in December, 2022.

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