



## Complaint Data to be displayed by IAs

Data for the month ending – April 2026

Sr. No.	Received from	Pending at the end of last Month	Received	Resolved*	Total Pending#	Pending complaints >3 months	Average Resolution time^ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES 2.0)	Nil	Nil	Nil	Nil	Nil	Nil
3	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

Number of complaints received during month against the IA due to impersonation by some other entity: Nil

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	November 2022	Nil	Nil	Nil	Nil
2	December 2022	Nil	Nil	Nil	Nil
3	January 2023	Nil	Nil	Nil	Nil
4	February 2023	Nil	Nil	Nil	Nil
5	March,2023	Nil	Nil	Nil	Nil
6	April 2023	Nil	Nil	Nil	Nil
7	May 2023	Nil	Nil	Nil	Nil
8	June 2023	Nil	Nil	Nil	Nil
9	July 2023	Nil	Nil	Nil	Nil
10	August 2023	Nil	Nil	Nil	Nil

### NEO WEALTH MANAGEMENT PRIVATE LIMITED

CIN: U74140MH2021PTC367572

903, B-Wing, 9<sup>th</sup> Floor, Marathon Futurex, Mafatlal Mills Compound, N.M. Joshi Marg, Lower Parel  
Mumbai City MH 400013 IN compliance@neo-wealth.com and Phone Number: 022- 66423600

11	September 2023	Nil	Nil	Nil	Nil
12	October 2023	Nil	Nil	Nil	Nil
13	November 2023	Nil	Nil	Nil	Nil
14	December 2023	Nil	Nil	Nil	Nil
15	January 2024	Nil	Nil	Nil	Nil
16	February 2024	Nil	Nil	Nil	Nil
17	March 2024	Nil	Nil	Nil	Nil
18	April 2024	Nil	Nil	Nil	Nil
19	May 2024	Nil	Nil	Nil	Nil
20	June 2024	Nil	Nil	Nil	Nil
21	July 2024	Nil	Nil	Nil	Nil
22	August 2024	Nil	Nil	Nil	Nil
23	September 2024	Nil	Nil	Nil	Nil
24	October 2024	Nil	Nil	Nil	Nil
25	November 2024	Nil	1	Nil	1
26	December 2024	1	Nil	1	Nil
27	January 2025	Nil	Nil	Nil	Nil
28	February 2025	Nil	Nil	Nil	Nil
29	March 2025	Nil	Nil	Nil	Nil
30	April 2025	Nil	Nil	Nil	Nil
31	May 2025	Nil	Nil	Nil	Nil
32	June 2025	Nil	Nil	Nil	Nil
33	July 2025	Nil	Nil	Nil	Nil
34	August 2025	Nil	Nil	Nil	Nil
35	September 2025	Nil	Nil	Nil	Nil
36	October 2025	Nil	Nil	Nil	Nil
37	November 2025	Nil	Nil	Nil	Nil
38	December 2025	Nil	Nil	Nil	Nil
39	January 2026	Nil	Nil	Nil	Nil
40	February 2026	Nil	Nil	Nil	Nil
41	March 2026	Nil	Nil	Nil	Nil

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42	April 2026	Nil	Nil	Nil	Nil
	Grand Total	1	1	1	1

\*Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

Sr. No.	Year	Carried forward from previous year	Received	Resolved*	Pending#
1	2021-22	Nil	Nil	Nil	Nil
2	2022-23	Nil	Nil	Nil	Nil
3	2023-24	Nil	Nil	Nil	Nil
4	2024-25	Nil	1	1	Nil
5	2025-26	Nil	Nil	Nil	Nil
	Grand Total	Nil	1	1	Nil

\*Inclusive of complaints of previous years resolved in the current year.

#Inclusive of complaints pending as on the last day of the year.

Note : Neo Wealth Management Private Limited has received SEBI registration as Investment adviser in November 2022.

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