



**Format for Investor Complaints Data to be displayed by Depository Participants** on their respective websites

Data for every month ending (April 2026)

SN.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	NA
2	SEBI (SCORES 2.0)	Nil	Nil	Nil	Nil	Nil	Nil	NA
3	Depositories	Nil	Nil	Nil	Nil	Nil	Nil	NA
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	NA
	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil	NA

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	November – 2022	Nil	Nil	Nil	Nil
2	December – 2022	Nil	Nil	Nil	Nil
3	January – 2023	Nil	Nil	Nil	Nil

**NEO WEALTH MANAGEMENT PRIVATE LIMITED**

CIN:U74140MH2021PTC367572

903, B-Wing, 9<sup>th</sup> Floor, Marathon Futorex, Mafatlal Mills Compound, N.M.Joshi Marg, Lower Parel Mumbai City  
MH 400013 IN compliance@neo-wealth.com and Phone Number: 022-66423600



4	February – 2023	Nil	Nil	Nil	Nil
5	March – 2023	Nil	Nil	Nil	Nil
6	April – 2023	Nil	Nil	Nil	Nil
7	May – 2023	Nil	Nil	Nil	Nil
8	June – 2023	Nil	Nil	Nil	Nil
9	July – 2023	Nil	Nil	Nil	Nil
10	August – 2023	Nil	Nil	Nil	Nil
11	September – 2023	Nil	Nil	Nil	Nil
12	October – 2023	Nil	Nil	Nil	Nil
13	November – 2023	Nil	Nil	Nil	Nil
14	December – 2023	Nil	Nil	Nil	Nil
15	January – 2024	Nil	Nil	Nil	Nil
16	February – 2024	Nil	Nil	Nil	Nil
17	March – 2024	Nil	Nil	Nil	Nil
18	April – 2024	Nil	Nil	Nil	Nil
19	May – 2024	Nil	Nil	Nil	Nil
20	June – 2024	Nil	Nil	Nil	Nil
21	July – 2024	Nil	Nil	Nil	Nil
22	August – 2024	Nil	Nil	Nil	Nil
23	September – 2024	Nil	Nil	Nil	Nil
24	October – 2024	Nil	Nil	Nil	Nil
25	November – 2024	Nil	Nil	Nil	Nil
26	December – 2024	Nil	Nil	Nil	Nil
27	January – 2025	Nil	Nil	Nil	Nil
28	February – 2025	Nil	Nil	Nil	Nil
29	March – 2025	Nil	Nil	Nil	Nil
30	April – 2025	Nil	Nil	Nil	Nil
31	May – 2025	Nil	Nil	Nil	Nil

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32	June – 2025	Nil	Nil	Nil	Nil
33	July – 2025	Nil	Nil	Nil	Nil
34	August – 2025	Nil	Nil	Nil	Nil
35	September – 2025	Nil	Nil	Nil	Nil
36	October – 2025	Nil	Nil	Nil	Nil
37	November – 2025	Nil	Nil	Nil	Nil
38	December – 2025	Nil	Nil	Nil	Nil
39	January – 2026	Nil	Nil	Nil	Nil
40	February – 2026	Nil	Nil	Nil	Nil
41	March – 2026	Nil	Nil	Nil	Nil
42	April – 2026	Nil	Nil	Nil	Nil
	Grand Total	Nil	Nil	Nil	Nil

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### **Trend of annual disposal of complaints**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021-22	NIL	NIL	NIL	NIL
2	2022-23	NIL	NIL	NIL	NIL
3	2023-24	NIL	NIL	NIL	NIL
4	2024-25	NIL	NIL	NIL	NIL
5	2025-26	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

Note: Neo Wealth Management Private Limited received SEBI Registration for Depository Participant operations in November 2022 and commenced demat account services in December 2022.

### **NEO WEALTH MANAGEMENT PRIVATE LIMITED**

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